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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/921,274	08/01/2001	Richard S. Cerami	020366-077210US	5356

20350 7590 10/17/2005

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EXAMINER

TODD, GREGORY G

ART UNIT PAPER NUMBER

2157

DATE MAILED: 10/17/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

*Interview Summary*

Application No.

09/921,274

Applicant(s)

CERAMI ET AL.

Examiner

Gregory G. Todd

Art Unit

2157

All participants (applicant, applicant's representative, PTO personnel):

(1) Gregory G. Todd.

(3) \_\_\_\_\_

(2) Brian Young.

(4) \_\_\_\_\_

Date of Interview: 06 October 2005.

Type: a) ☒ Telephonic b) ☐ Video Conference  
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☒ Yes e) ☐ No.

If Yes, brief description: See attached interview agenda.

Claim(s) discussed: 1.

Identification of prior art discussed: Rakoshitz.

Agreement with respect to the claims f) ☐ was reached. g) ☐ was not reached. h) ☒ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

  
Examiner's signature, if required

## Summary of Record of Interview Requirements

### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

#### Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR § 1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,  
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

### Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Discussed proposed amendments to the claims, including claim 1. The proposed amendment further narrows the claim, which Examiner believes to possibly read over Rakoshitz after further consideration in the next office action and upon Applicant's next official response. Examiner further suggested amending the claim language to make clear the request is sent over the network and all communication being sent over the network and not, as stands, possibly being on the phone with a customer representative and being told there is spare capacity, as such language possibly would need a 35 USC 101 rejection .

Atty Docket No. 020366-077810US

FTO FAX NO.: 571-273-4011

ATTENTION: Examiner Gregory G. Todd

Group Art Unit 2157

**OFFICIAL COMMUNICATION**  
**FOR THE PERSONAL ATTENTION OF**  
**EXAMINER Gregory G. Todd**

**CERTIFICATION OF FACSIMILE TRANSMISSION**

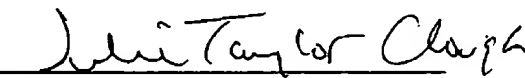
I hereby certify that the following documents in re Application of CERAMI et al., Application No. 09/921,274, filed August 1, 2001 for LINKING ORDER ENTRY PROCESS TO REALTIME NETWORK INVENTORIES AND CAPACITIES are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Documents Attached

1. Interview Agenda

Number of pages being transmitted, including this page: 3

Dated: September 30, 2005

  
Julie Taylor Clough

**PLEASE CONFIRM RECEIPT OF THIS PAPER BY**  
**RETURN FACSIMILE AT (415) 576-0300**

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0407

66599559 v1

**PLEASE DO NOT ADD TO FILE HISTORY  
INTERVIEW AGENDA**

For: Request for Interview with Examiner Gregory Todd

Re: Office Action of July 13, 2005 for U.S. Patent Application Serial No.: 09/921,274

Summary of Issues that the Applicant wishes to discuss with the Examiner at the Interview:

**I. Possible Amendments:**

1. (previously presented) A method for processing customer requests for spare capacity in a video and data network from a network element database comprising:

receiving a customer inquiry for a request for spare capacity in the video and data network from a customer requesting a service for the video and data network, wherein the request comprises a service area identifier corresponding to the customer;

identifying equipment to check for spare capacity from the service area identifier, wherein the equipment identified is used to provide the service to the customer on the video and data network;

determining if the identified equipment has spare data and video capacity using real-time information for the identified equipment in the network element inventory to determine physical or logical network elements that are available to provide service;

if the equipment has spare data and video capacity, calculating spare video and data capacity for the equipment based on the physical or logical network elements that are available to provide service, wherein the spare video and data capacity is used to provide the service to the customer, if desired; and

providing an answer, using a capacity checking engine, for the request for spare capacity while being connected to the customer during the customer inquiry, the answer based on the spare video and data capacity calculation.

**II. Brief Description of Arguments:**

a. The newly cited reference Rakoshitz is cited as disclosing determining a real-time view. Applicants submit Rakoshitz discloses monitoring bandwidth of a network. Claim 1 is directed towards checking for spare capacity. Applicants in an effort to expedite prosecution

have clarified that determining spare capacity comprises determining physical or logical network elements that are available to provide service. Applicants believe this is different from monitoring of the bandwidth of the network.